

BOLSOVER DISTRICT COUNCIL
Accident Reporting and
Investigation
Policy and Procedure

March 2012 December 2013



This Policy addresses the following Corporate Aims



COMMUNITY
SAFETY



CUSTOMER
FOCUSED SERVICES



STRATEGIC ORGANISATIONAL
DEVELOPMENT

ACCESS FOR ALL

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اگر آپ کو یہ دستاویز سمجھنے میں مدد کی ضرورت ہو یا یہ بڑے حروف یا ترجمہ کی شکل میں درکار ہو تو برائے مہربانی اس صفحے کے آخر میں دیے گئے نمبر پر ہم سے رابطہ کریں۔



01246 242403. Department: Human Resources and Payroll

Minicom: 01246 242450 Fax: 01246 242423

Website: www.bolsover.gov.uk

Revised February 2011

CONTROL SHEET

Details of Document	Comments / Confirmation
Title	Accident Reporting Policy and Procedure
Document type – i.e. draft or final version	<u>Finaldraft</u>
Location of Policy	<u>Health and Safety Manual</u>
Author of Policy	Health and Safety Officer <u>Advisor</u>
Member route for Approval & Cabinet Member concerned	
Date Risk Assessment completed	<u>2007 December 2013</u>
Date Equality Impact Assessment approved	<u>July 2011</u>
Partnership Involvement (if applicable)	<u>N/A</u>
Date added to the Forward Plan	<u>N/A</u>
Policy Approved by	<u>Safety Committee</u>
Date Approved	<u>March 2012</u>
Policy Review Date	<u>March 2015</u>
Date forwarded to CSPD (to include on Intranet and Internet if applicable to the public)	<u>2 March 2012</u>

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1.0 INTRODUCTION

- This policy outlines the procedure to be adopted when an employee, visitor, customer or contractor suffers an accident or experiences a near miss or 'dangerous occurrence' (see Appendix 1).
- The policy describes reporting, recording and investigation procedures for managers.
- The policy sets out how the Council will meet its legal obligations to report and record accidents.
- The policy sets out how the Council will use the information from accident reports to continuously improve the safe delivery of services.

2.0 SCOPE

- The policy and procedure will apply to all employees, elected members, agency workers and volunteers who have an accident while they are engaged in activity or work on behalf of the Council.
- 'Work' will include any paid or voluntary duties carried out at whatever time, and includes travelling between sites during the working day, but not travelling to and from home at the start or end of the day.
- The policy and procedure will also apply to service users, visitors (including contractors), clients and members of the public who have an accident whilst on our premises or using our facilities where the accident could have been caused by something under the Council's control.
- Contractors will be required to provide their own accident reporting procedure and also to provide details of any accidents occurring whilst working on our sites / premises, to their BDC Contract Administrator.

3.0 PRINCIPLES OF THE POLICY

- To aid accident investigation and reporting the Council positively encourages the reporting of all accidents and near misses/[learning events](#) and does not regard such reporting as an adverse reflection on the individuals abilities to perform their duties satisfactorily.
- Accident investigations will be seen as an opportunity to make improvements in managing services safely.
- Appropriate investigations will be undertaken for all reported accidents and near misses/[learning events](#).
- Employees who suffer accidents, injuries or ill health will be supported, where appropriate, to return to work.

4.0 POLICY STATEMENT

- The Council will ensure that all accidents, work related ill health incidents and dangerous occurrences are reported and investigated, and appropriate action is taken to prevent recurrence.
- The Council will comply with the requirements of RIDDOR (Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 2013) and with the requirements of any external regulators.

5.0 RESPONSIBILITY

- **Joint Chief Executive Officer** has overall responsibility for the management of Health and Safety and is responsible for ensuring that there are effective measures in operation to report, record and investigate accidents.
- **Strategic Alliance Management Team** are accountable to the Joint Chief Executive Officer for the operations and activities carried out within their areas of responsibility and for ensuring that effective arrangements are in place to report record and investigate accidents:
- **Heads of Service** are accountable to their Joint Director for ensuring that the Accident Reporting and Investigation Policy is complied within their Service Area.
- **Line Managers** are responsible for ensuring that accident reports and investigations are used to continually improve the health and safety management systems within the Council with the aim of preventing accidents and ill health, and ensuring that an accident book is available.
- **All Employees** have a responsibility to report accidents, near misses/learning events and dangerous occurrences and to co-operate fully with any investigation into the events surrounding the incident.
- **The Health and Safety ~~Officer~~ Officer-Advisor** is responsible for updating the policy and providing training to managers and new employees on the systems in place for accident reporting and investigation, providing advice and guidance to support employees, reporting accidents to the Health and Safety Executive and reporting accidents on a quarterly basis to Safety Committee.
- **The Health and Safety ~~Officer~~ Officer-Advisor** can be contacted on ☎01246 (24)2403

6.0 ACCIDENT / INCIDENT REPORTING PROCEDURE

6.1 Accidents

Employees have a responsibility to report all ~~accidents~~ ~~accidents~~ ~~in the a~~ Accident Report ~~FormBook~~ (Form BI 510) as soon as practically possible ideally within 24 hours of the incident. ~~within three working days.~~

The Line Manager must complete the accident book ~~sheet~~ form on behalf of employees or others who are unable to report accidents themselves. A list of accident book holders can be found on ERIC at http://intranet/intranet/images/docs/excel/accident_books_allocation.xls

Accident books are available from the Health and Safety ~~Officer~~ Advisor

The Line Manager must **telephone/email the Health and Safety ~~Officer~~ Advisor immediately** if an accident results in a fatality or a specified injury occurring.

A Specified Injury any of the following:

- A Fractures other than to fingers, thumbs or toes.
- Amputation of arm, hand, finger, thumb, leg, foot or toe.
- ~~Dislocation of the shoulder, hip, knee or spine.~~
- Permanent loss of sight (temporary or permanent) or reduction of sight
- Crush injuries leading to internal organ damage
- Severe burns (covering more than 10% of body or damaging eyes, respiratory system, or other vital organs.
- Scalping (separation of skin from head) requiring hospital treatment
- Unconsciousness caused by head injury or asphyxia
- ~~Chemical or hot metal burn or any penetrating injury to the eye.~~
- ~~Injury resulting from an electric shock.~~
- Any other injury arising from working in an enclosed space, which leads to hypothermia, heat-induced illness or requires resuscitation or injury leading to an employee being admitted admittance to hospital for more than 24 hours.
- ~~Any injuries to people not at work (i.e. members of the public) as a result of an accident "arising out of or in connection with work" where they are taken to hospital from the scene of the accident.~~
- ~~Accidents that occur as a result of failure or misuse of equipment~~

Managers must inform the Health and Safety ~~Officer~~ Advisor as soon as possible if the accident has resulted in an employee being away from work or unable to perform their normal work duties for a period of "Over 3 days". ~~injury".~~

Managers are then expected to notify the **Health and Safety Advisor** as soon as possible if the employee is unable to return to their normal duties for a further period of **4 Days.**

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~~All of the above will be reported by~~ **The Health and Safety Officer-Advisor** is responsible for reporting to the Health and Safety Executive all fatalities, specified injuries and "Over 7 Day" injuries as required under RIDDOR (Reporting of Injuries Diseases and Dangerous Occurrences Regulations (RIDDOR 2013)), within 5 working days of receipt of the report.

Managers must investigate all accidents within their area of responsibility and complete an "accident investigation report." This should be submitted to the **Health and Safety Officer-Advisor** within 5 working days.

Managers must ensure that recommendations arising from all accident investigations including those conducted by more junior managers are implemented within reasonable and agreed time scales. If any recommendations cannot be implemented the reasons for this must be clearly documented along with the action plan.

Heads of Service must notify the Joint Director of Corporate Resources immediately of any loss, liability or damage or any event or circumstances likely to lead to a claim, as required by S.4.7.7 of the Councils Constitution.

The Health and Safety Officer-Advisor will produce accident statistics on a quarterly basis to enable the Safety Committee to identify trends and so improve health and safety management.

6.2 Violence and aggression, all incidents must be reported on the Council's violence report form. This can be accessed via ERIC at http://intranet/intranet/images/docs/Forms/violent_incident_form.doc

If the violence results in the victim sustaining any personal injury then an accident form must also be completed.

6.3 Work Related Diseases and Ill Health

- Some 'work-related diseases' (although they may not be diseases as medically defined) are also reportable to the HSE. For example, Carpal Tunnel Syndrome suffered by an employee who uses hand held vibrating equipment or tools.
- These diseases become reportable to the HSE immediately on receipt of a written doctor's diagnosis.
- If a manager becomes aware of an employee who is suffering from a disease which may be linked to work they should inform the Health and Safety **Officer-Advisor** immediately. The Health and Safety **Officer-Advisor** and the Occupational Health provider will provide further advice and assistance.

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6.4 Dangerous Occurrences

- If an incident occurs which falls under the HSE's definition of a 'dangerous occurrence' (see Appendix 1) this must be reported straight away to the Health and Safety ~~Officer~~ Advisor
- The Health and Safety ~~Officer~~ Advisor will investigate and inform the HSE as soon as possible.

6.5 Near Miss/Learning Event Reporting

If you ~~suffer are involved in from~~ an incident that could be described as a 'near miss/learning event', for example you slip but do not fall, or a collision occurs but no damage is done, this also needs reporting. Use an accident form to do so but mark it 'near miss/learning event' and report it as you would an accident. This will provide us with useful information on areas that could cause us a problem, and enable us to manage them more effectively.

7.0 ACCIDENT INVESTIGATION GUIDELINES

7.1 General guidelines

The purpose of an accident investigation is to identify the immediate and ~~underlying root~~ causes of ~~the an~~ accident and allow the organisation to develop and implement preventative measures to ensure that the accident does not happen again. It is not a fault finding or blame allocating process.

Line managers are required to investigate any accident that occurs within their area of control. This must be reported to the Health and Safety ~~Officer~~ Advisor on the Accident Investigation form available on ERIC at http://intranet/intranet/images/docs/Forms/accident_investigation_form.doc

Line managers will ensure that the report from the **accident book (B1 510)** is also forwarded to the Health and Safety ~~Officer~~ Advisor. A list of accident book holders is available on ERIC on the Council's **Health and Safety Webpage** at http://intranet/intranet/images/docs/excel/accident_books_allocation.xls

The extent of any investigation will be determined by the manager and will vary. It will be influenced by factors such as the severity of an injury, the potential for injury, or awareness of similar previous incidents. The ~~Heath~~ Health and Safety ~~Officer~~ Advisor will assist with any management investigations.

As a minimum the investigation should address all of the issues contained in the accident investigation form.

The investigation should look for the underlying-root cause of the accident. The following list is not exhaustive and provides a guide to some possible underlying-root causes:

- Non-existent or confusing guidelines/procedures
- Lack of or poor quality, protective equipment
- Lack of, or poor quality, induction procedures
- Lack of, or poor quality, information instruction and training
- Poor communications
- Lack of co-operation and co-ordination
- Poor maintenance of equipment
- Non-existent or poor quality risk assessments
- Poor control/supervision or monitoring
- Conflicting priorities/lack of time
- Unclear responsibilities
- Lack of resources
- Lack of commitment
- Lack of relevant policies

Are you convinced that the accident happened as stated and that the injuries were as stated in the accident book?

7.2 Interviews with the Injured Person, Witnesses, First Aiders and Managers

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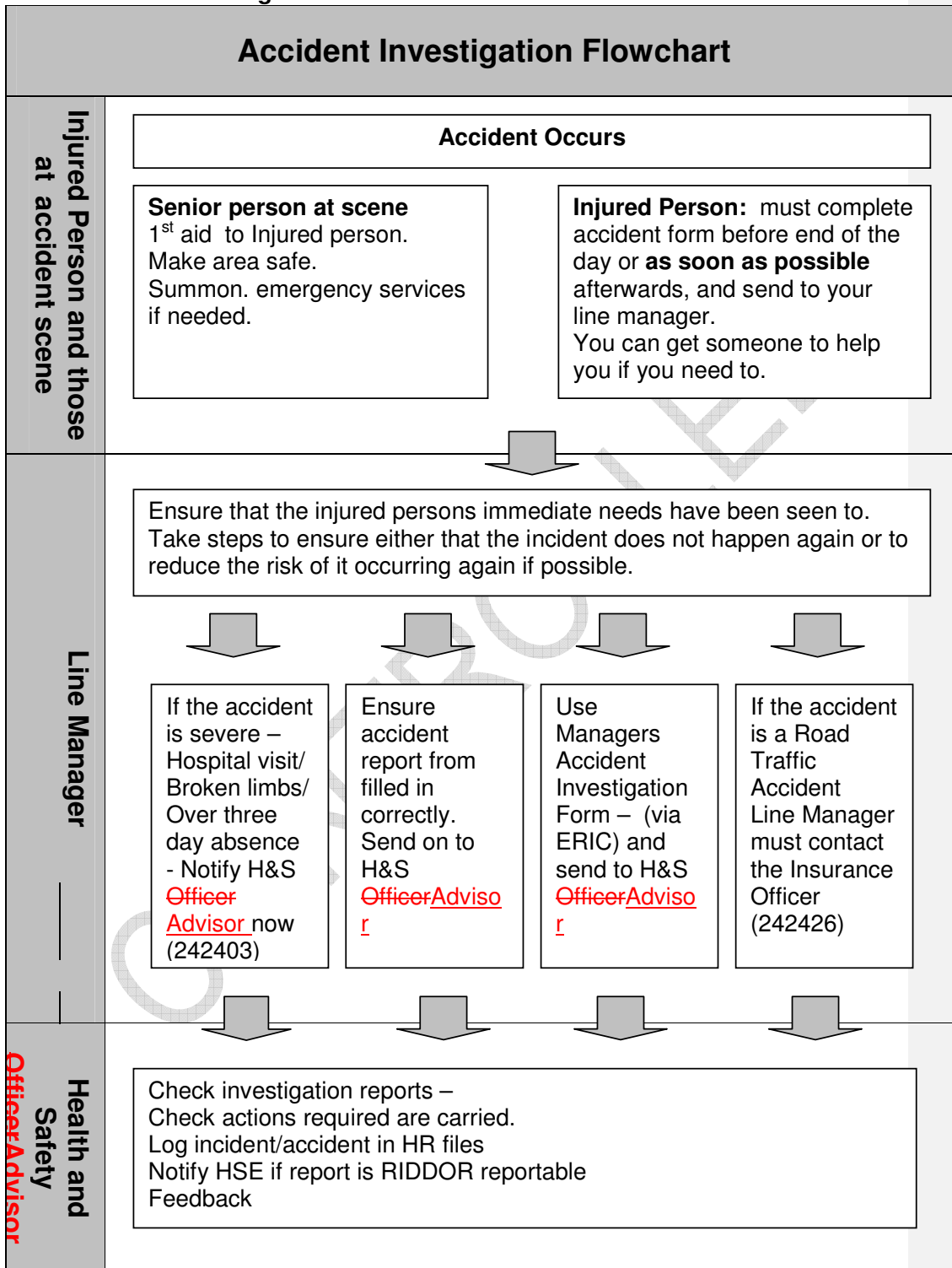
- Conduct Interviews as soon as possible after the accident, but do not interrupt medical care to do this.
- Interview each person separately.
- Remind employees of their right to have a safety representative, trade union representative or work colleague present if they so wish.
- Interviews should not be unduly delayed while organising for a safety representative, trade union representative or work colleague to attend. It is important to gather facts while information is still clear in people's minds
- Put each person at ease. Reassure them that it is a fact-finding process only not a fault finding exercise.
- Try not to allow witnesses to confer prior to the interview.
- Guard against pitfalls of bias, embellishment and their interpretation of events.
- Do not ask leading questions e.g. "So your rushing caused you to over-reach and fall from the ladder?" Ask open-ended questions e.g. "What do you think caused you to fall from the ladder?"
- Ensure you have addressed the—who, what, why, when, where and how.
- Summarise what you have been told and correct any misunderstandings.
- Written statements should be signed and dated by the relevant witnesses.

- Statements taken on behalf of witnesses should be carefully read back to them to ensure accuracy of content.
- Witnesses must be offered copies of their statements for future reference.

7.3 Recording your findings

- In the majority of cases the accident investigation form will suffice for the recording of the accident investigation.
- Please ensure that all parts of the accident form are fully completed and legible – an initialled electronic version of the form is acceptable instead of a signed paper copy.
- Particularly important areas are:
 - Time absent / returned to work – we need this information quickly as we have a legal requirement to inform the Health and Safety Executive for accidents resulting in absence of over three days.
 - Action Taken to avoid recurrence of the incident
- The Health and Safety ~~Officer~~ Advisor will keep accident records for at least **7 years**.

7.4 Accident Investigation Flow Chart



8.0 Link to Accident Investigation Form

http://intranet/intranet/images/docs/Forms/accident_investigation_form.doc

9.0 DEFINITIONS

Accident

~~"An undesired circumstance which gives rise to ill health, injury, damage, production losses or increased liabilities."~~

~~"An unplanned and uncontrolled event which has lead to or caused personal injury, damage or other loss."~~

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Near Miss

~~A near miss is an unplanned event that did not result in injury, illness, or damage – but had the potential to do so."Any unexpected event, mistake, or error of judgement that does not result in harm or damage, but could have done. It is an opportunity to improve health and safety practice before anybody is hurt."~~

Over 3-7 day injury

~~"When a person at work is injured as a result of an accident and is away from work or not able to do the full range of their normal work for more than 3-7 consecutive days (excluding the day of the accident)." – Do not count the day of the accident / incident~~

Dangerous Occurrence

~~A dangerous occurrence is as defined in by the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995/2013: are certain specified "Near Miss" events (Incidents with the potential to cause harm.). Examples of Dangerous Occurrences are:~~

- ~~• The collapse o, overturning or failure of lload bearing parts of lifts and lifting equipment;~~
 - ~~• Plant or equipment coming into contact with overhead power lines;~~
 - ~~• Explosions or fires causing work to be stopped for more than 24 hours.~~
- ~~of dangerous occurrences that may need to be reported to the HSE are an electrical short circuit or overloading causing fire or explosion, plant or equipment coming into contact with overhead power lines, the overturning or failure of lifting equipment. There is aFor a full detailed fuller list in see Appendix 1.~~

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Violence

~~The term "accident" now includes acts of non-consensual violence to a person which occur at work and in circumstances relating to their work, and as such must be reported to the HSE if the victim suffers a major injury or if the victim ceases work for "over 3-7 days."~~

APPENDIX 1 (From HSE Riddor Website)

Specified 'Dangerous Occurrences' Relevant to our Activities are:

- Collapse, overturning or failure of load-bearing parts of lifts and lifting equipment;
- ~~The failure of any closed vessel or of any associated pipework (other than a pipeline) forming part of a pressure~~ Explosion, collapse or bursting of any closed vessel or associated pipework;
- ~~Failure of any freight container in any of its load-bearing parts;~~
- Any Plant or equipment coming into contact with overhead power lines;
- Electrical ~~short circuit or overload incidents~~ causing fire or explosion;
- Any fire, explosion or unintentional ignition of explosives.
- ~~Any unintentional explosion, misfire, failure of demolition to cause the intended collapse, projection of material beyond a site boundary, injury caused by an explosion;~~
- Accidental release of a biological agent likely to cause severe human illness;
- ~~The malfunction of a radiation generator or its ancillary equipment~~
- ~~The malfunction of breathing apparatus~~
- ~~The failure, damaging or endangering of diving operations.~~
- Collapse or partial collapse (including falling, buckling or overturning of a scaffold over five metres high, or ~~erected near water~~ where there could be a risk of drowning after a fall;
- ~~The collision of a train with any other train or vehicle;~~
- Failure of any load-bearing fairground equipment, or derailment or unintended collision of cars or trains;
- ~~Any blow-out, activation of blow-out prevention, detection of hydrogen sulphide, compromise of separation distances or mechanical failure effecting of the unintentional release of fluids In relation to a well (other than a well sunk for the purpose of the abstraction of water~~
- ~~Any damage to, accidental or uncontrolled release of a pipeline or pipeworksA dangerous substance being conveyed by road is involved in a fire or rele; failure of an isolation device or equipment used in conjunction with work on a pipeline. ased;~~
- Unintended collapse of: any building or structure under construction, alteration or demolition where over five tonnes of material falls; a wall or floor in a place of work; any false-work;
- Explosion or fire causing suspension of normal work for over 24 hours;
- Sudden, uncontrolled release in a building of: 100 kg or more of flammable liquid; 10 kg of flammable liquid above its boiling point; 10 kg or more of flammable gas; or of 500 kg of these substances if the release is in the open air;
- Accidental release of any substance which may damage health.

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